

Small Entity
SMALL BUSINESS CONCERN

Atty. Docket No.:0698.056/P042

Inventor(s): Eugene B. Levich, Jacob N. Malkin, Mark A. Alperovich, and Boris M. Shapiro

Serial No. or Patent No. (if previously filed or issued): 09/028,932

Filed or Issued (date if previously filed or issued): February 24, 1998 or ☐ Filed Herewith

For (invention title): Silver Halide Material For Optical Memory Devices With Luminescent Reading And Methods For The Treatment Thereof

**SMALL ENTITY FORM - SMALL BUSINESS CONCERN
(VERIFIED STATEMENT/DECLARATION CLAIMING SMALL ENTITY STATUS,
(37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am: (check one)

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN TRID STORE Inc.

ADDRESS OF CONCERN 757 Third Ave. Fourth Floor, New York, New York 10017 USA

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **SILVER HALIDE MATERIAL FOR OPTICAL MEMORY DEVICES WITH LUMINESCENT READING AND METHODS FOR THE TREATMENT THEREOF**

by inventor(s) Eugene B. Levich, Jacob N. Malkin, Mark A. Alperovich and Boris M. Shapiro described in

(check one)

☐ the specification filed herewith

☒ application Serial No. 09/028,932, filed February 24, 1998

☐ Patent No. _____, Issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: A separate verified statement is required from each named person, concern or organization having rights to the invention averring to his/her/its status as a small entity. (37 CFR 1.27)

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee of any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Eugene B. Levich

TITLE OF PERSON OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: Yehuda Hanany St. 90/54 Ramat Aviv, Tel-Aviv 69395, Israel

SIGNATURE

DATE

E. Levich March 16, 1998

Attorney Docket No. 0698. 056/P042

ASSIGNMENT

- (1) Jacob Malkin
 (2) Mark Alperovich
 (3) Eugene Levich
 (4) Boris Shapiro

(1-4) Insert Name(s) of Inventor(s) (3) In consideration of the sum of one dollar (\$1.00), and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, transfer and set over to

(5) Insert Name of Assignee (5) TRID Store Inc.

(6) Insert Address of Assignee (6) 757 Third Ave., Fourth Floor, New York, New York 10017 USA
 (hereinafter designated as the Assignee) the entire right, title and interest in the invention for patent in the United States of America

(7) Insert Identification of Invention, such as Title, Case # or For. Appln. No. (7) Silver Halide material for optical memory device with luminescent reading and methods for the treatment thereof
 for which the undersigned has (have) executed an application for patent in the United States of America

(8) Insert Date of Signing of application (8) on and any and all applications for patent and patents in any and all countries, including all divisions, continuations, reissues, and extensions thereof and all rights of priority resulting from the filing of said United States application.

1) The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of WIGMAN, COHEN, LEITNER & MYERS, P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>02.02.98</u>	Signature of Inventor <u>[Signature]</u> (seal)
	Jacob N. Malkin
Date _____	Signature of Inventor <u>[Signature]</u> (seal)
	Mark A. Alperovich
Date _____	Signature of Inventor <u>[Signature]</u> (seal)
	Eugene B. Levich
Date _____	Signature of Inventor <u>[Signature]</u> (seal)
	Boris M. Shapiro

This assignment should preferably be signed before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here:

Witness
 Witness

[Signature]
[Signature]

0698.056/P042

Assignment

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One dollar (\$), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

TriD Store Inc., 757 Third Ave., Fourth Floor, New York, New York 10017, USA (ASSIGNOR),

owner of the entire right, title and interest in the following United States Patent Application and the invention described and claimed therein

Application Serial No. 09/028932

Filed: 24 February 1998

Title Silver halide material for optical memory device with luminescent reading and methods for treatment thereof

by virtue of a written assignment dated February 2, 1998 from Jacob Malkin, Mark Alperovich, Eugene Levich and Boris Shapiro to TriD Store Inc., filed in the US Patent and Trademark Office for purpose of recordation on 24 February 1998, Reel 9060, Frame 0622, hereby sells, assigns and transfers to

OMD Devices LLC (ASSIGNEE), 1013 Centre Road., the City of Wilmington, County of New Castle, 19805, USA

the entire right, title and interest in the aforesaid United States Patent Application and the invention described and claimed therein and, in and to, all patents to be obtained for said invention in any and all countries by the above application or any continuation, divisional, renewal, or substitute thereof, any reissue or re-examination thereof and any PCT application based thereon

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict this assignment

ASSIGNOR further covenants that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto, and will promptly execute and deliver to ASSIGNEE, or its legal representative, any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof

IN WITNESS THEREOF,

TriD Store INC., (ASSIGNOR)

has caused this assignment to be signed by its duly officer on this 20 day of October 1998.

By:

E. Levich
Eugene Levich
CEO

OMD Devices LLC (ASSIGNEE)

By:

E. Levich
Eugene Levich
President

Witnessed

Attest /Dike Pritsker/